

FSMA

FINANCIAL SERVICES AND
MARKETS AUTHORITY

Transparency notification Form TR-1 BE Help

How to use this form?

TR-1 BE is an interactive form.

Therefore it is essential that you *download it again for each new notification*.

You can select the name of an issuer in the list referred to in Section 2.

The form consists of two parts: *Part I*, that has to be transmitted to both the FSMA and the issuer, and *Part II*, to be sent exclusively to the FSMA.

Once you have opened the form on the FSMA's website, start by *saving* it on your computer (using "save as") before filling it in. When opening the file, remember also to verify that *macros* are active.

In order to *add rows* in the various sections of Part I (e.g. if there are several notifiers), please use the "+" and "-" buttons.

The names of the persons subject to a notification requirement as indicated in Part I automatically appear in Part II when you click on the "*PART II*" button in Section 6. It is not possible to add any additional rows in Part II.

Please *do not unprotect* the form; please fill in only where authorized and *do not modify* the formulas.

In Section 10, start with groups. In the case of *groups* of notifiers with subtotals to be filled in, please identify the members of the group and subsequently *click* on " Σ " to fill in a subtotal. Repeat this for each group. Finish with people notifying alone.

Once Section 10 is completed, *click* on "*CALCULATE*" to show the totals and subtotals.

For further details, please refer to the extract from the Guide to the Transparency Legislation.

The (unsigned) form should be transmitted in *xlsm* format. In addition, a signed copy should be transmitted in *PDF* format.

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Transparency notification

Form TR-1 BE

PART I

1) Status of the notification

Final

2) Issuer

Name UCB
 Identification number 0403-053-608

3) Reason for the notification

Acquisition or disposal of voting securities or voting rights

4) Notification by

A person that notifies alone

5) Persons subject to the notification requirement

Name	Address (for legal entities)
UCB SA/NV	Allée de la recherche 60, 1070 Brussels (Anderlecht)

6) Persons that dispose of voting rights (only to be filled in if art. 7 of the Law applies)

Name	Address (for legal entities)

i Please continue entering the information in **part II** concerning the persons referred to in Sections 5 and 6

Part II

7) Date on which the threshold is crossed

01/04/2021 (DD/MM/YYYY)

8) Threshold that is crossed (in %)

3

i If the holding has fallen below the lowest threshold, you have the option of not entering any numbers in Section 10

9) Denominator

194,505,658


i Please enter the denominator before filling in the data

10) Notified details

A) Voting rights	Previous notification	After the transaction			
		# of voting rights		% of voting rights	
Holders of voting rights		Linked to securities	Not linked to the securities	Linked to securities	Not linked to the securities
UCB SA/NV	5,855,888	5,508,556		2.83%	
TOTAL		5,508,556	0	2.83%	0.00%

i Start with "groups" of holders. Add subtotals with Σ , and then finish with the persons who are "alone".
 For groups, start with the ultimate controlling natural person or legal entity.
 The totals, subtotals and % will be updated once you have clicked on **<CALCULATE>**.

B) Equivalent financial instruments	After the transaction						
	Holders of equivalent financial instruments	Type of financial instrument	Expiration date	Exercise period or date	# of voting rights that may be acquired if the instrument is exercised	% of voting rights	Settlement
							+
TOTAL					0	0.00%	

 The totals will be updated once you have clicked on <CALCULATE>

TOTAL (A & B)	# of voting rights	% of voting rights
	5,508,556	2.83%
CALCULATE		

11) Full chain of controlled undertakings through which the holding is effectively held, if applicable

12) In case of proxy voting for only one GM

Holder will cease to hold / will hold again (DD/MM/YYYY) voting rights as of

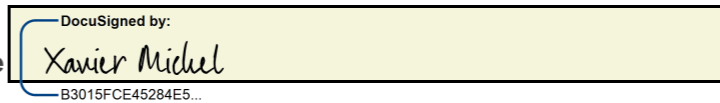
13) Additional information

The disclosure obligation arose due to voting rights held by UCB SA crossing downwards the 3% minimum threshold. The variation is due to UCB covering its obligations towards employees in the framework of the Long Term Incentive plans of the UCB group.

Done at

On (DD/MM/YYYY)

Name & capacity

Signature 

FSMA

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PART II

Persons subject to the notification requirement

<u>Name</u>	<u>Address</u>	<u>Phone number</u>	<u>E-mail</u>	<u>Contact person (for legal entities)</u>
UCB SA/NV	Allée de la Recherche 60, 1070 Anderlecht	+32 2 559 95 83	Xavier.Michel@ucb.com	Xavier Michel

Mandatory

<u>Name</u>	<u>Address</u>	<u>Phone number</u>	<u>E-mail</u>	<u>Contact person (for legal entities)</u>

Invoicing data

<u>Name</u>	<u>Address</u>	<u>Phone number</u>	<u>E-mail</u>	<u>Contact person (for legal entities)</u>
UCB SA/NV	Allée de la Recherche 60, 1070 Anderlecht	+32 2 559 95 83	Muriel.LeGrelle@ucb.com	Muriel LeGrelle

The personal data which you have provided to the FSMA via this form will be processed by the FSMA as set out in its [Privacy Policy](#).